

# **Transport and thermoelectric properties of a semiconductor quantum dot chain connected to metallic electrodes**

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4:UIUC

[1] DMT Kuo and Y. C. Chang, PRB 81, 205321 (2010).

[2] DMT Kuo, S. Y. Shiau and Y. C. Chang, PRB 84 245303 (2011)

[3] DMT Kuo and Y. C. Chang, Nanoscale Research Letters 7, 257 (2012)

[4] DMT Kuo and Y. C. Chang, Nanotechnology 24, 175403 (2013)

[5] DMT kuo and Y. C. Chang, PRB 89,115416 (2014)

[6]C. C. Chen, Y. C. Chang and DMT Kuo, Phys. Chem. Chem. Phys. 17, 6606 (2015)

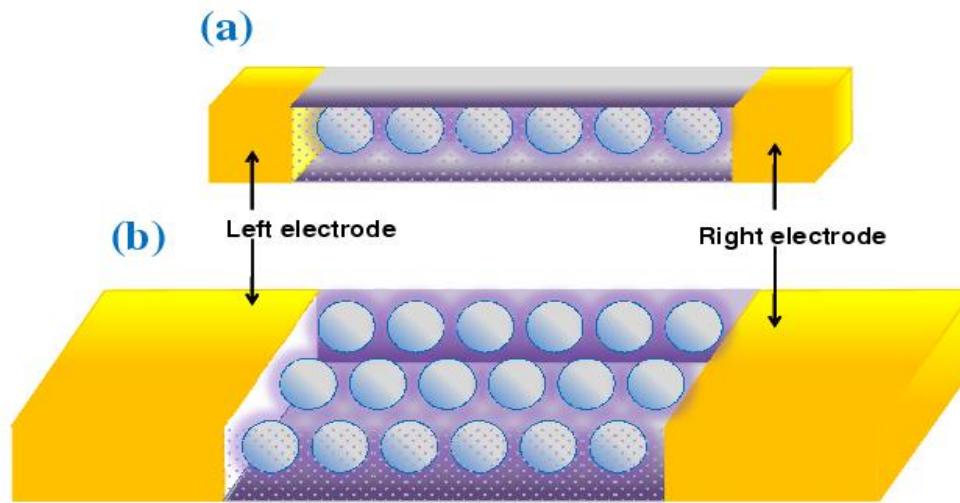
# Urbana-Champaign (2003-July)



1997/9/9 to 2003/7/30 at UIUC

# 1:QD molecule junction system

Strong correlation system



Electron hopping strengths and electron Coulomb interactions

The simple, the better !

# 1-0:Applications

- Single electron transistors
- Single photon generators
- QD lasers and detectors
- Solid state coolers
- Quantum registers
- Quantum interference transistors

# 1-0-1: How to study QD chain junction system

- (1) Electronic structures of a single QD.
- (2) Intradot and interdot Coulomb interactions.
- (3) Electron hopping strengths between QDs and tunneling rates coming from the coupling between electrodes and outer QDs.
- (4) Interactions between electrons and phonons. (ignored in BP)
- (5) Extended Hurbbard and Anderson model
- (6) Equation of motion method (nonequilibrium Green's functions)

\*One energy level for each QD

# 1-1:References (Thermoelectric effects)

- [R1]A. J. Minnich, M. S. Dresselhaus, Z. F. Ren and G. Chen, Energy Environ Science, **2**, 466 (2009)
- [R2]G. Mahan, B. Sales and J. Sharp, Physics Today, **50**, 42 (1997).
- [R3]R. Venkatasubramanian, E. Siivola,T. Colpitts,B. O'Quinn, Nature **413**,597 (2001).**"BiTe/SbTe quantum well superlattice"**
- [R4]A. I. Boukai, Y. Bunimovich, J. Tahir-Kheli, J. K.Yu, W. A. Goddard III and J. R. Heath, Nature, **451**, 168(2008).**"Silicon quantum wire"**
- [R5]T. C. Harman, P. J. Taylor, M. P. Walsh, B. E.LaForge, Science **297**, 2229 (2002).**"PbSeTe Quantum dot superlattice "**
- [R6]K. F. Hsu,S. Loo,F. Guo,W. Chen,J. S. Dyck,C. Uher, T. Hogan,E. K. Polychroniadis,M. G. Kanatzidis, Science **303**, 818(2004)
- .[R7]A. Majumdar, Science **303**, 777 (2004).
- [R8]G. Chen, M. S. Dresselhaus, G. Dresselhaus, J. P.Fleurial and T. Caillat, International Materials Reviews,**48**, 45 (2003)
- [R9]Y. M. Lin and M. S. Dresselhaus, Phys. Rev. B **68**, 075304 (2003).

## 1-2: Simple realistic systems

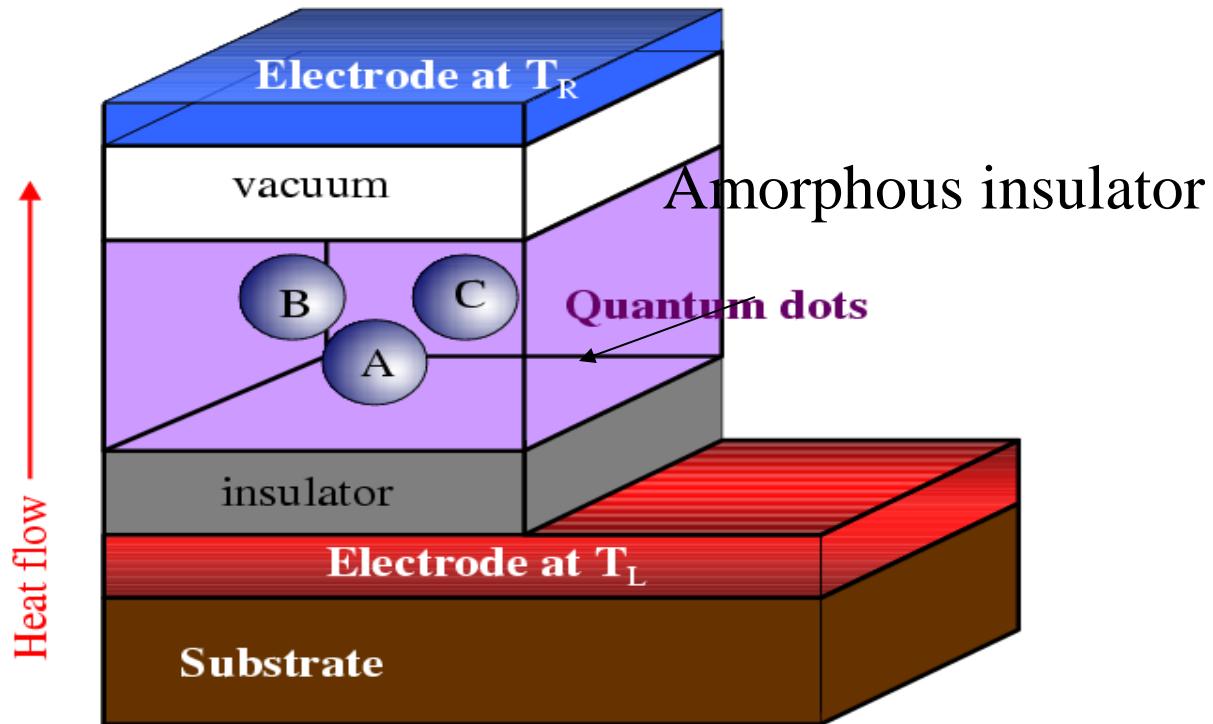


Fig. 1  
**Large intradot and interdot Coulomb interactions,  
But weak electron hopping strengths.**

[1] DMT Kuo and Y. C. Chang, PRB. 81, 205321 (2010).

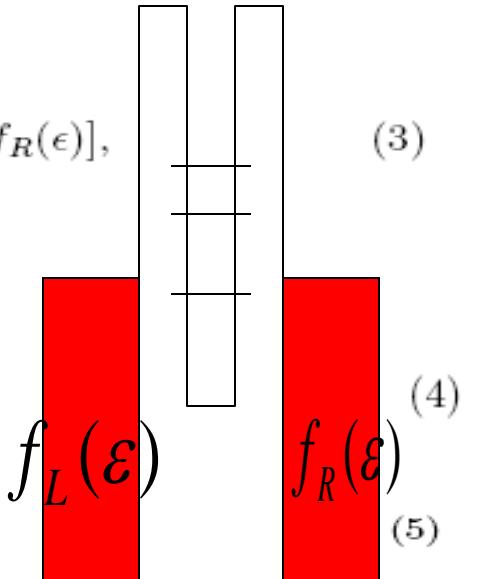
# 1-3:Nonequilibrium Green's function technique

$$J_e = \frac{-2e}{h} \sum_{\ell} \int d\epsilon \gamma_{\ell}(\epsilon) Im G_{\ell,\sigma}^r(\epsilon) [f_L(\epsilon) - f_R(\epsilon)], \quad (2)$$

$$Q = \frac{-2}{h} \sum_{\ell} \int d\epsilon \gamma_{\ell}(\epsilon) Im G_{\ell,\sigma}^r(\epsilon) (\epsilon - E_F - e\Delta V) [f_L(\epsilon) - f_R(\epsilon)], \quad (3)$$

$$G_{\ell,\sigma}^r(\epsilon) = (1 - N_{\ell,-\sigma}) \sum_{m=1}^{3^{n-1}} \frac{p_m}{\epsilon - E_{\ell} - \Pi_m + i\Gamma_{\ell}} \\ + N_{\ell,-\sigma} \sum_{m=1}^{3^{n-1}} \frac{p_m}{\epsilon - E_{\ell} - U_{\ell} - \Pi_m + i\Gamma_{\ell}}, \quad (4)$$

$$N_{\ell,\sigma} = - \int \frac{d\epsilon}{\pi} \frac{\Gamma_{\ell,L} f_L(\epsilon) + \Gamma_{\ell,R} f_R(\epsilon)}{\Gamma_{\ell,L} + \Gamma_{\ell,R}} Im G_{\ell,\sigma}^r(\epsilon), \quad (5)$$



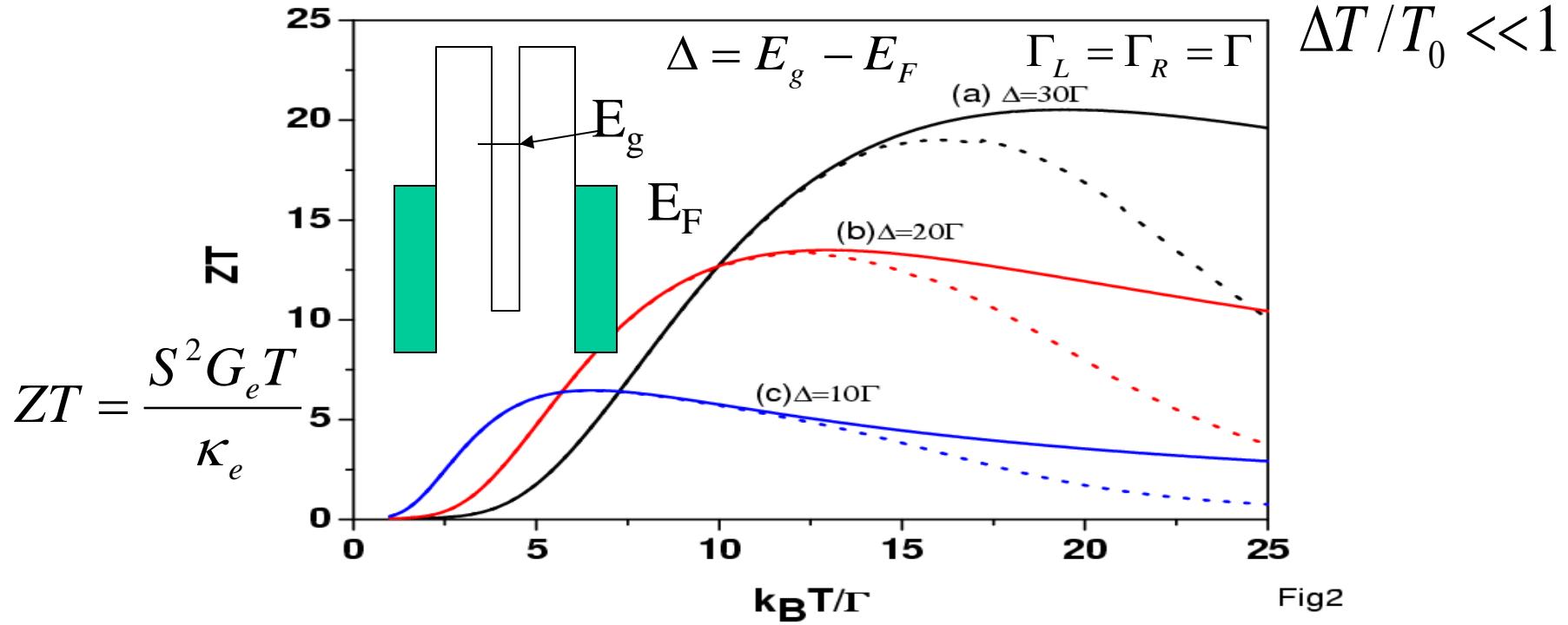
$$c_{\ell} = - \int \frac{d\epsilon}{\pi} \frac{\Gamma_{\ell,L} f_L(\epsilon) + \Gamma_{\ell,R} f_R(\epsilon)}{\Gamma_{\ell,L} + \Gamma_{\ell,R}} Im G_{\ell,\ell}^r(\epsilon). \quad (6)$$

[7]D. M. T. Kuo and Y. C. Chang, Phys. Rev. Lett. **99**,086803(2007)

[8]Y. C. Chang and D. M. T Kuo, Phys. Rev. B **77**,245412 (2008)

# 1-4:Linear response

Homogenous QD size, dilute QD density



ZT as a function of T for different detuning energies. Solid and dash lines correspond, respectively, without and with intradot Coulomb interactions .

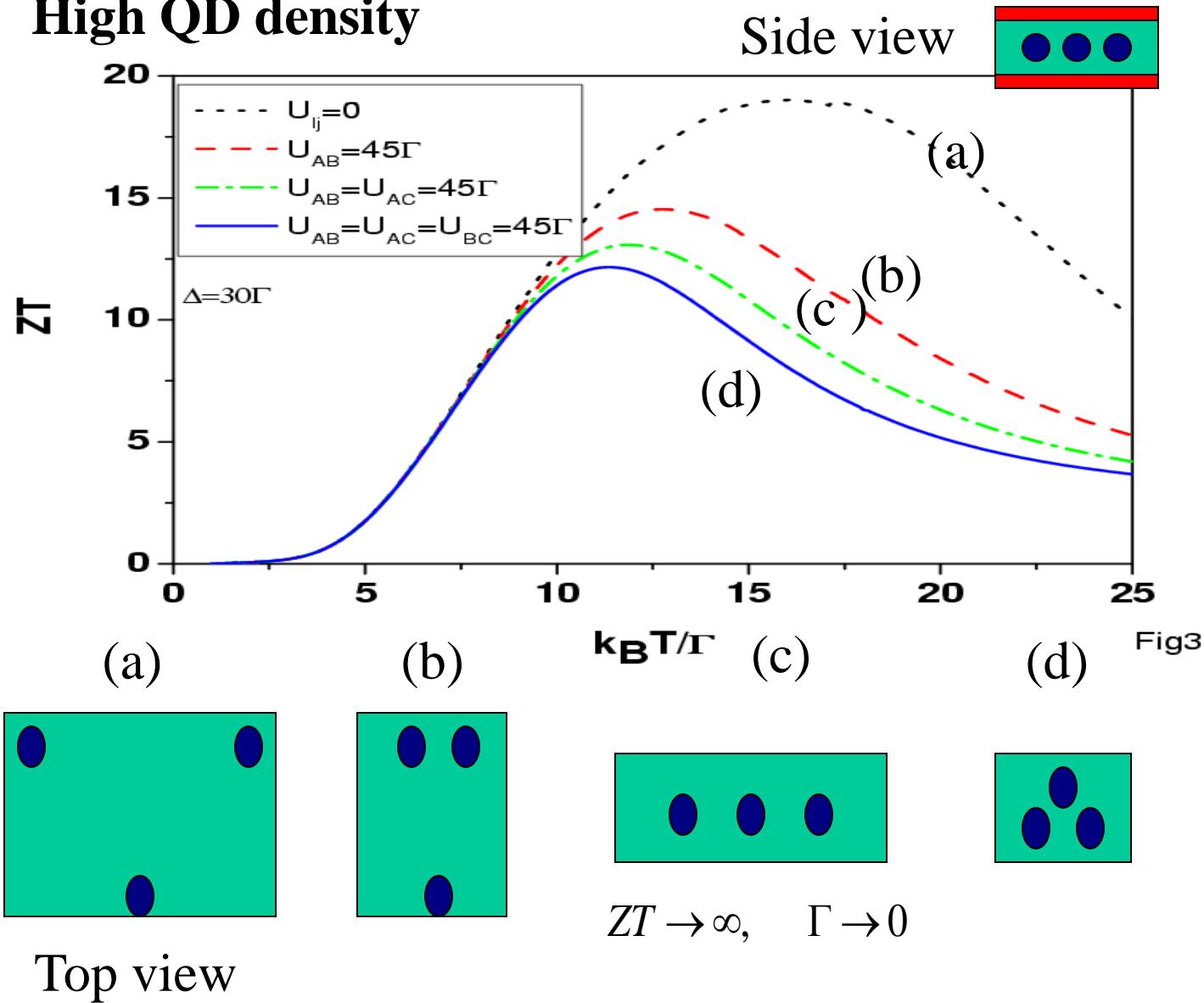
[R10]P. Murphy, S. Mukerjee, J. Morre, Phys. Rev. B 78, 161406 (2008).

$$ZT \rightarrow \infty, \quad \Gamma \rightarrow 0$$

# 1-5:Interdot Coulomb interactions

High QD density

Side view



# 1-6: ZT detuned by $E_g$

Noninteraction case

High QD density

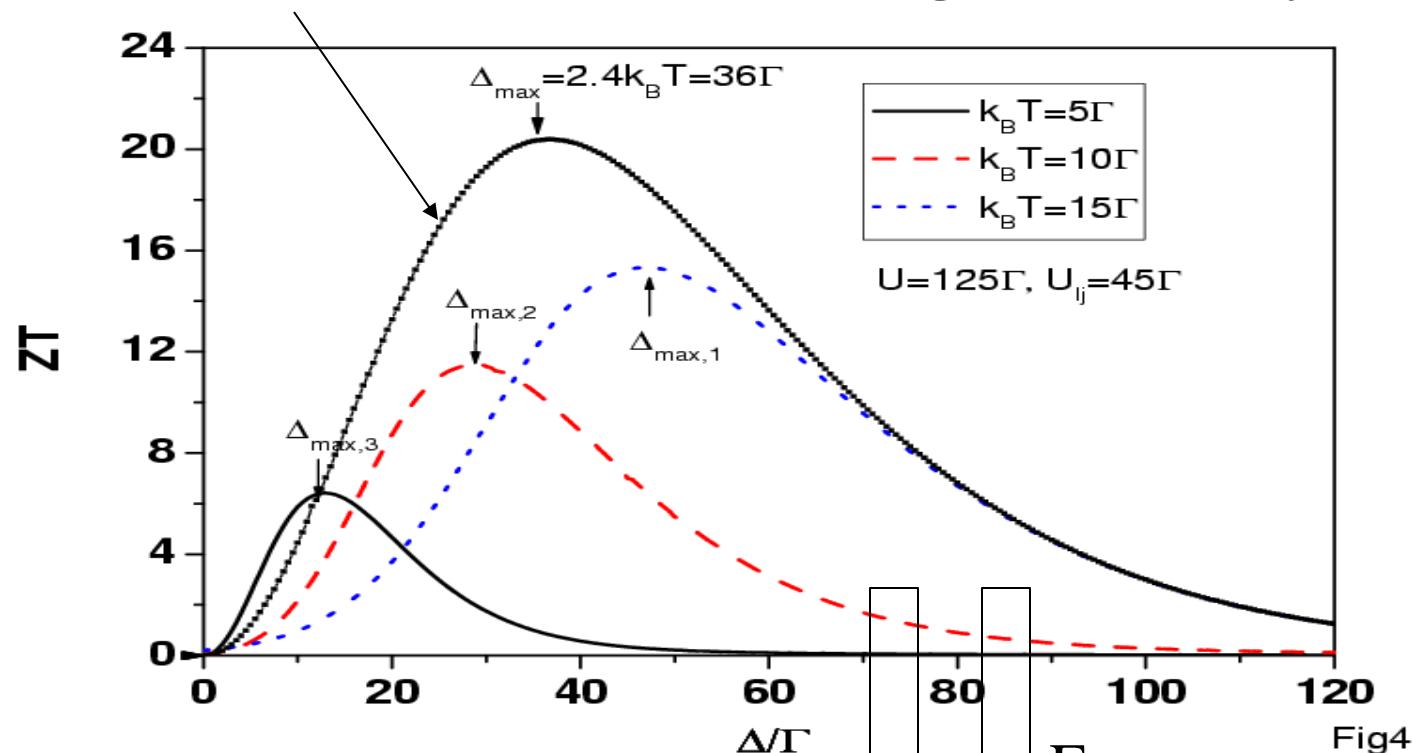
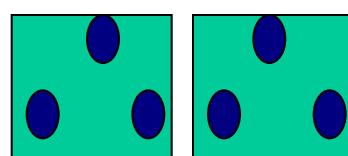


Fig4



$E_F$

$\Delta/\Gamma$

$E_g$

$$\Delta = E_g - E_F$$

## 2-1: Pauli-spin blockade

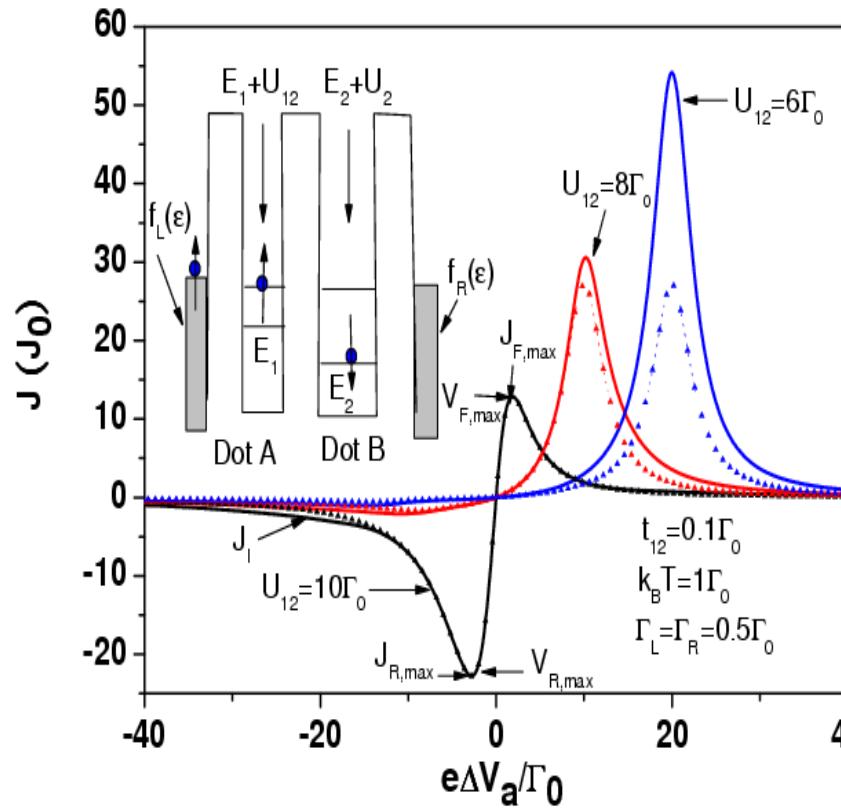
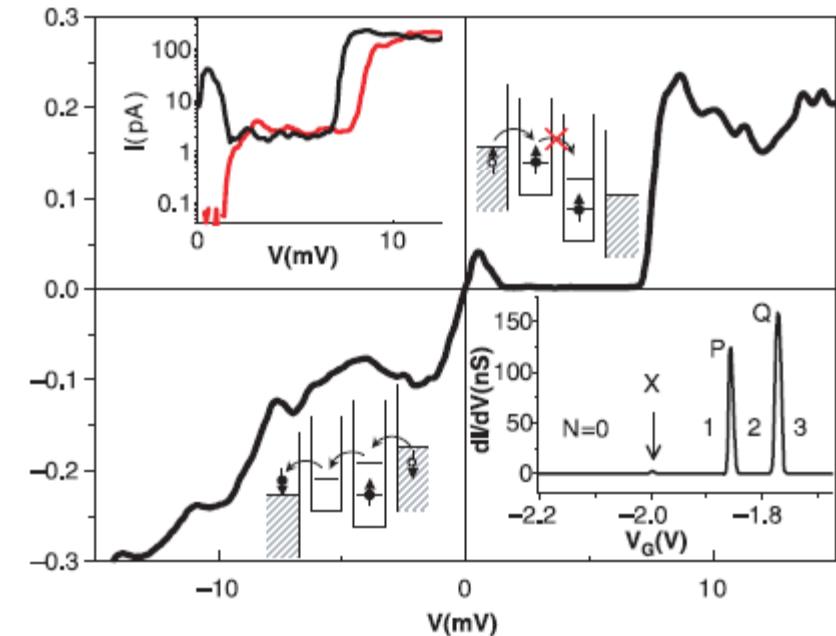


Fig1



[R11] K. Ono , D. G. Austing, Y. Tokura and S. tarucha, science 297, 1313 (2002). Current rectification

## 2-2: Temperature effect (PSB)

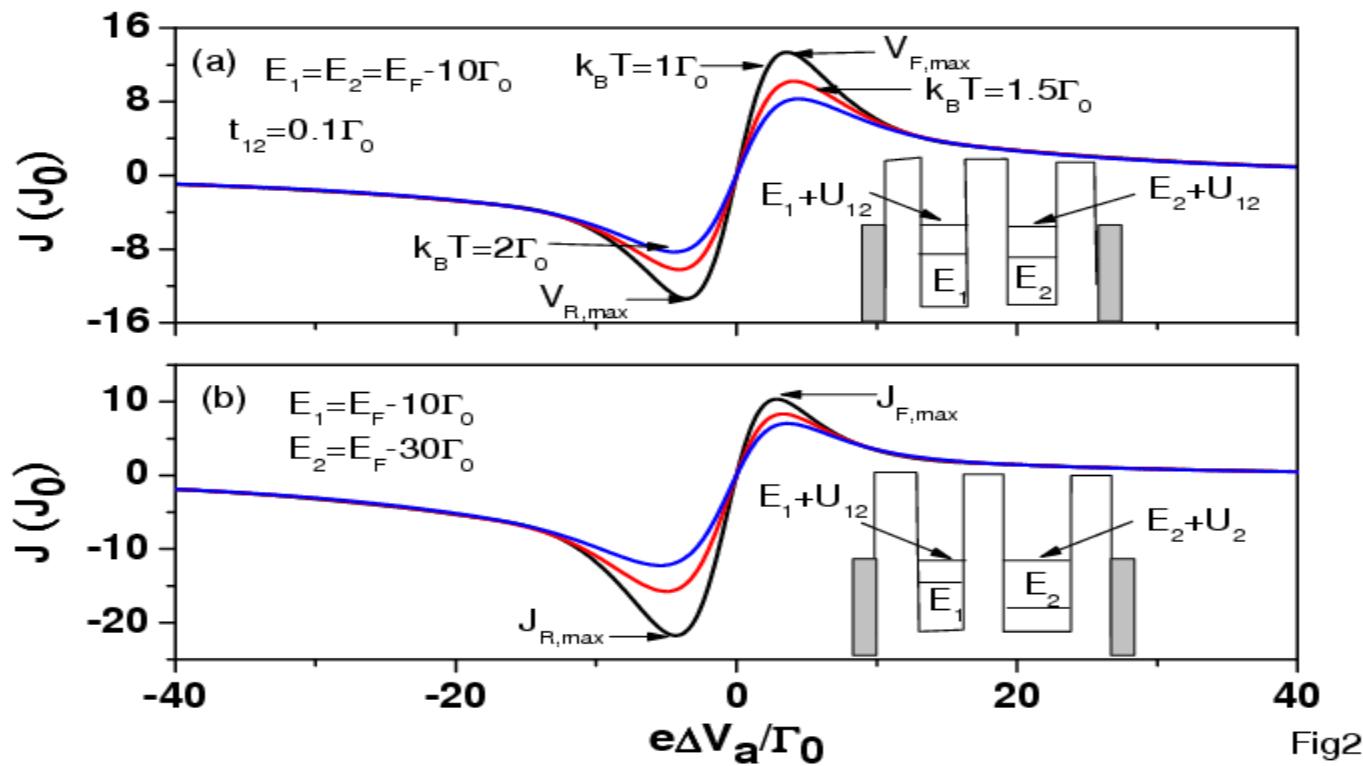


Fig2

$$E_1 + U_{12} = E_2 + U_2 \quad t_{12} < \Gamma$$

$$FB : (1 - N_{1,-\sigma}) * (N_{2,-\sigma} - C_2) \quad RB : N_{2,-\sigma} * (1 - N_{1\sigma} - N_{1-\sigma} - C_1)$$

## 2-3:PSB (three levels)

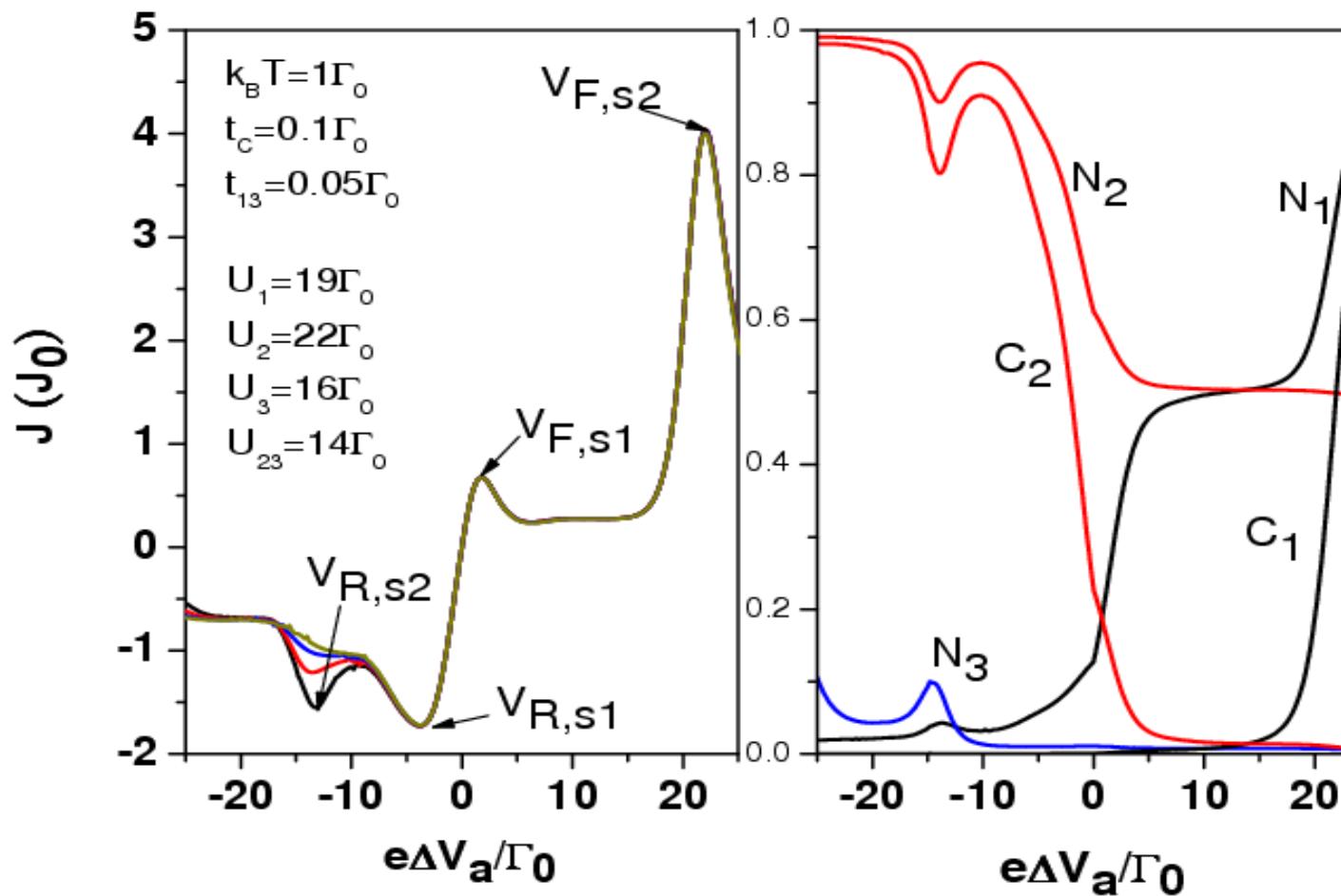
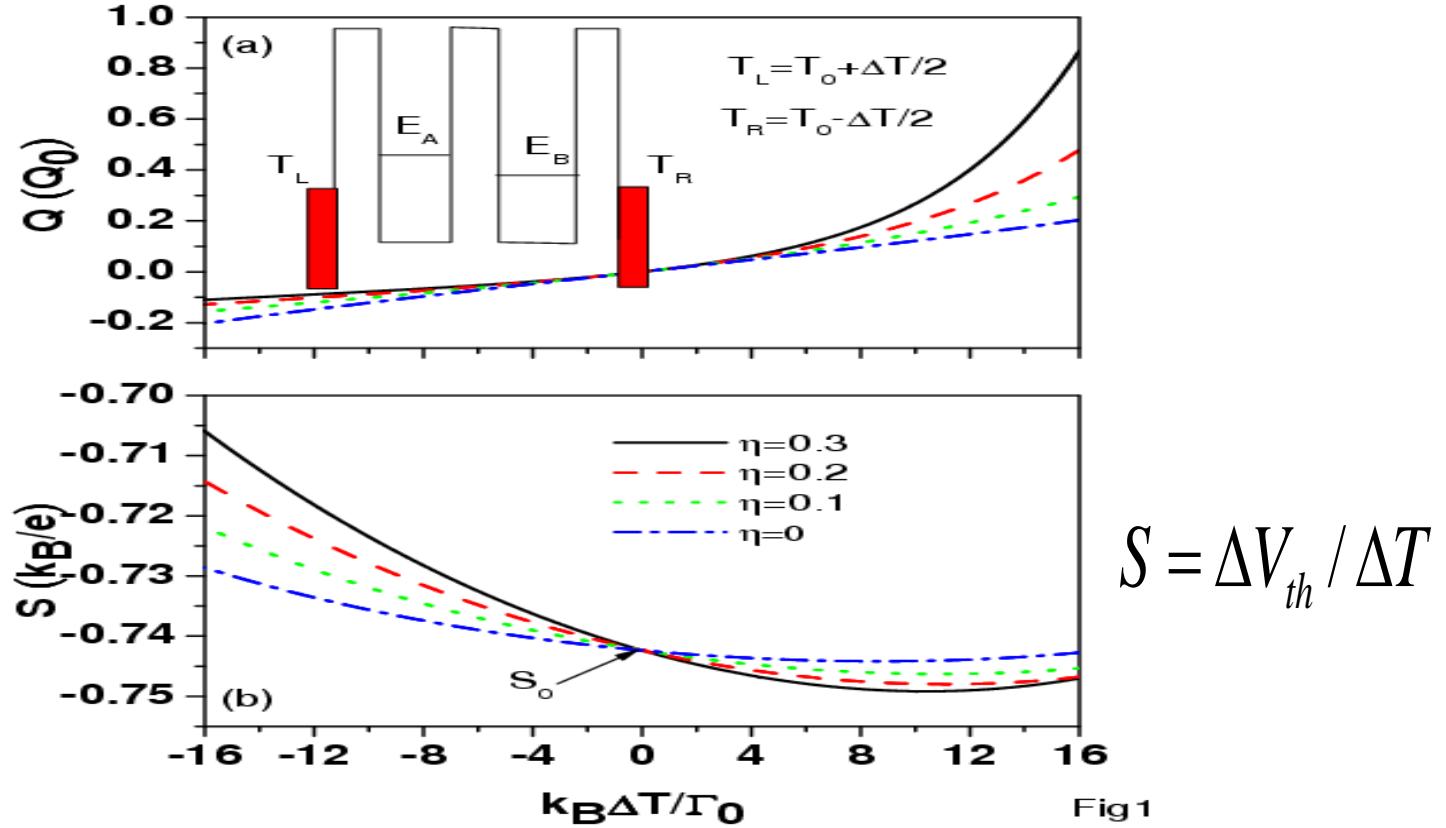


Fig4

### 3-1: Thermal retification (DQDs)

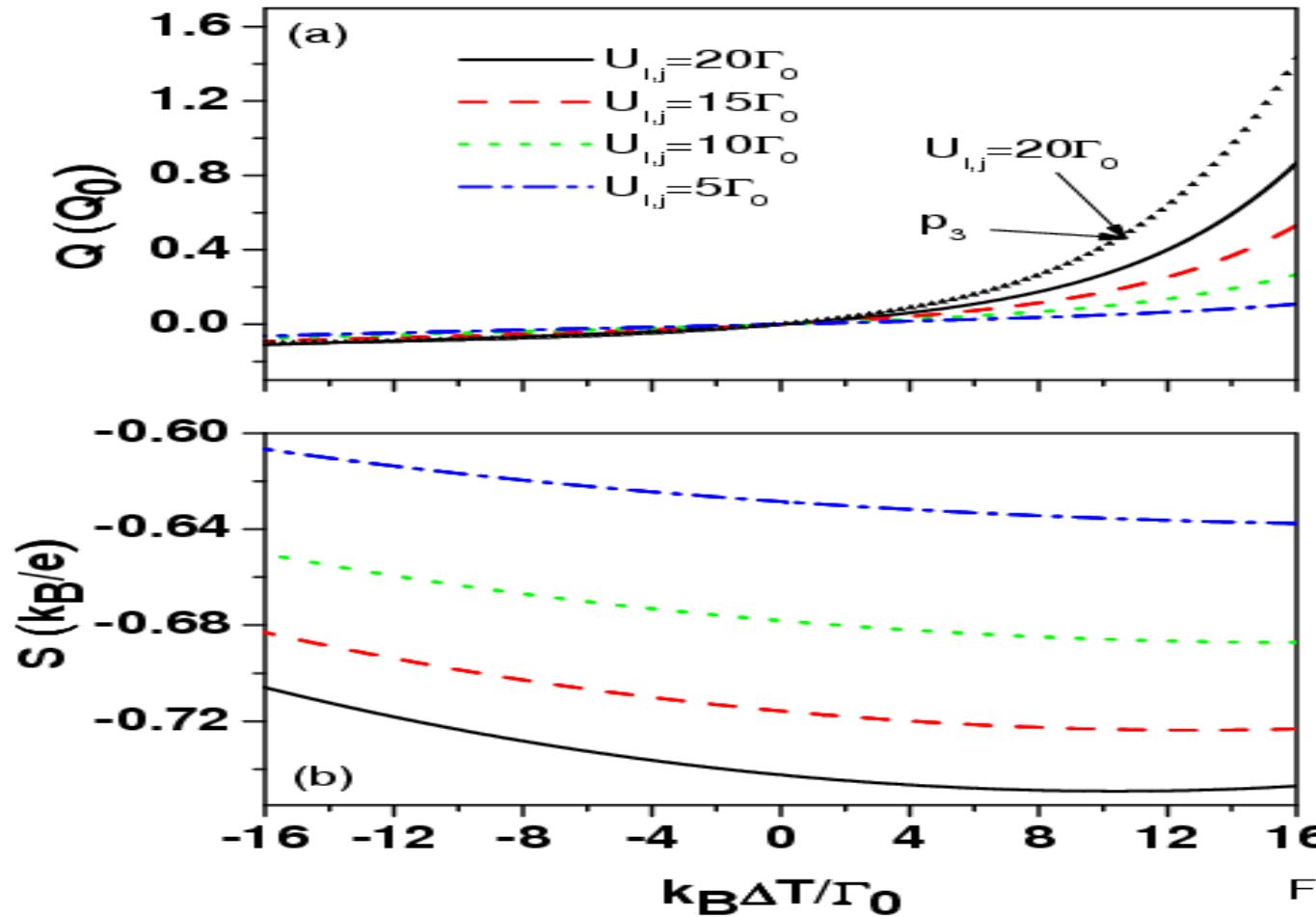
$$\mathcal{E}_j = E_j - \eta_j e \Delta V_{th}$$



$$\Delta T = T_L - T_R < 0$$

$$\Delta T = T_L - T_R > 0$$

### 3-2: Interdot Coulomb interactions (TR)



### 3-3: Electron hopping (TR)

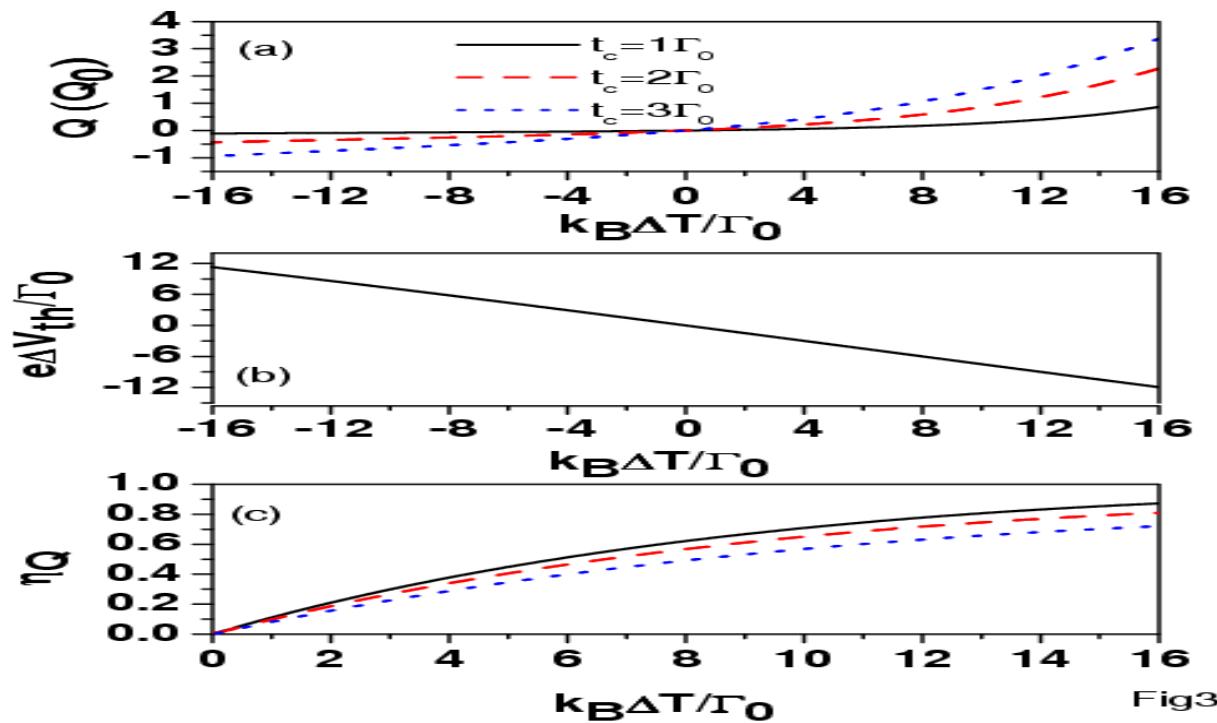
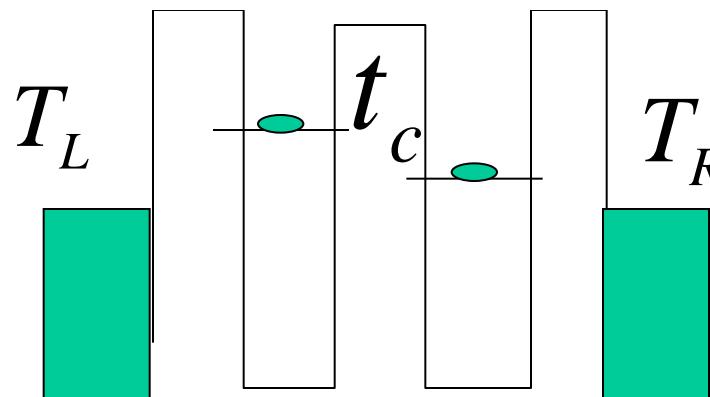


Fig3



$$\eta_Q = \frac{Q(\Delta T > 0) - |Q(\Delta T < 0)|}{Q(\Delta T > 0)}$$

# 3-3:Tunneling rates (TR)

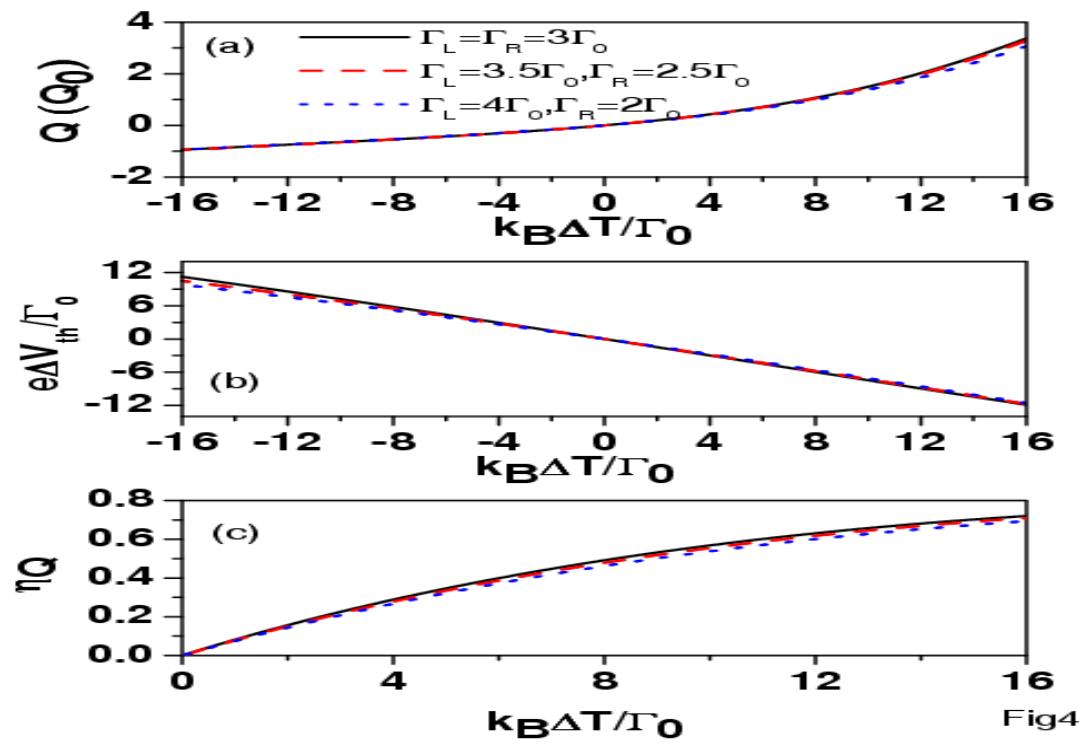


Fig4

# 3-4:TR (TQDs)

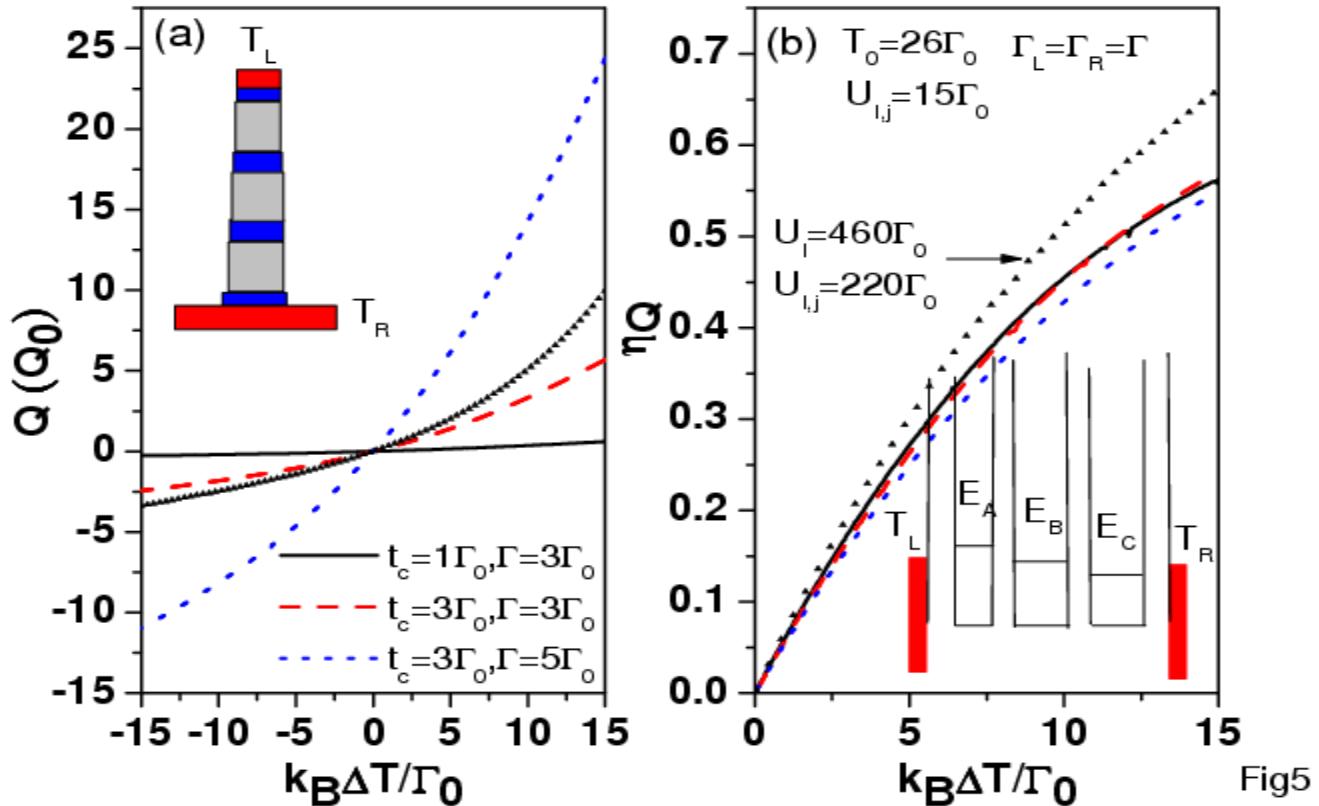
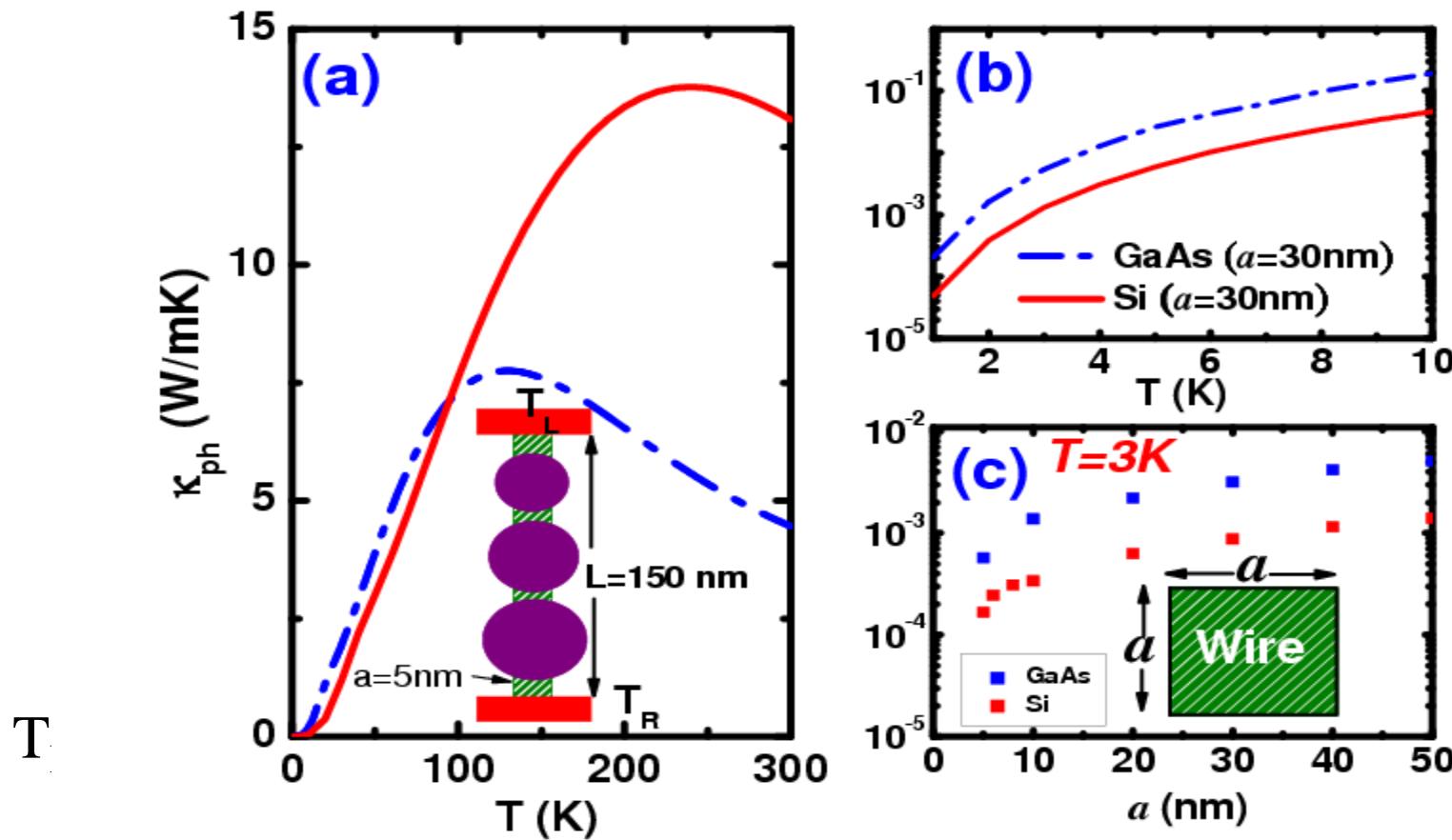


Fig5

[R11] R. Scheiber et al, New. J. Phys. 10, 083016 (2008)

### 3-5:Thermal rectification at 3k



[7]Y C Tsen, D M T Kuo, and Y. C. Chang; APL 103, 053108 (2013)

## 4-1: LDCT effect (TODs)

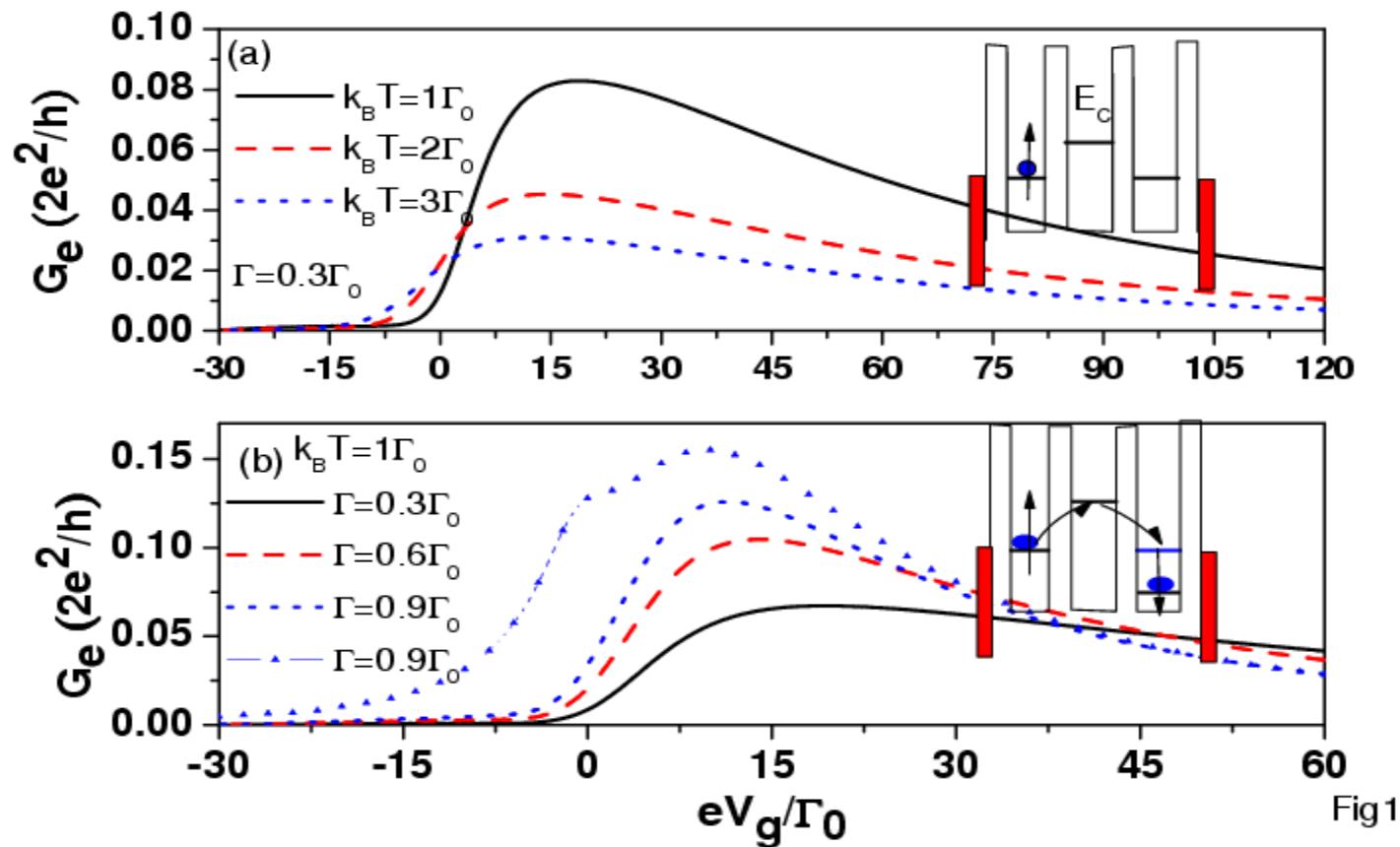


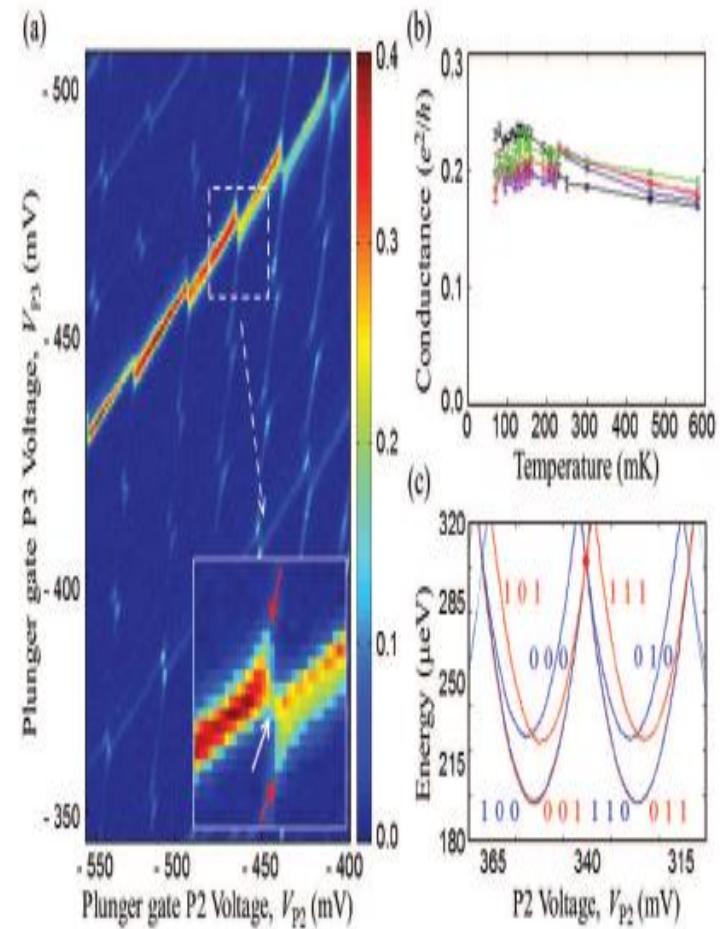
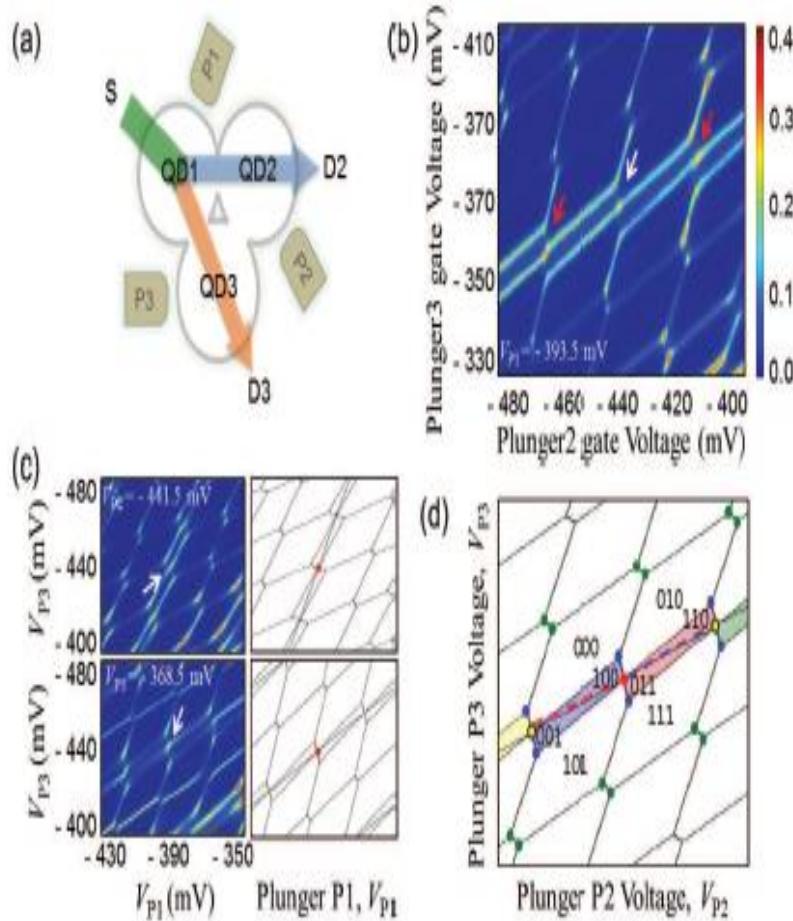
Fig 1

[R12] M. Busl et al Nature Nanotech 8, 261(2013).

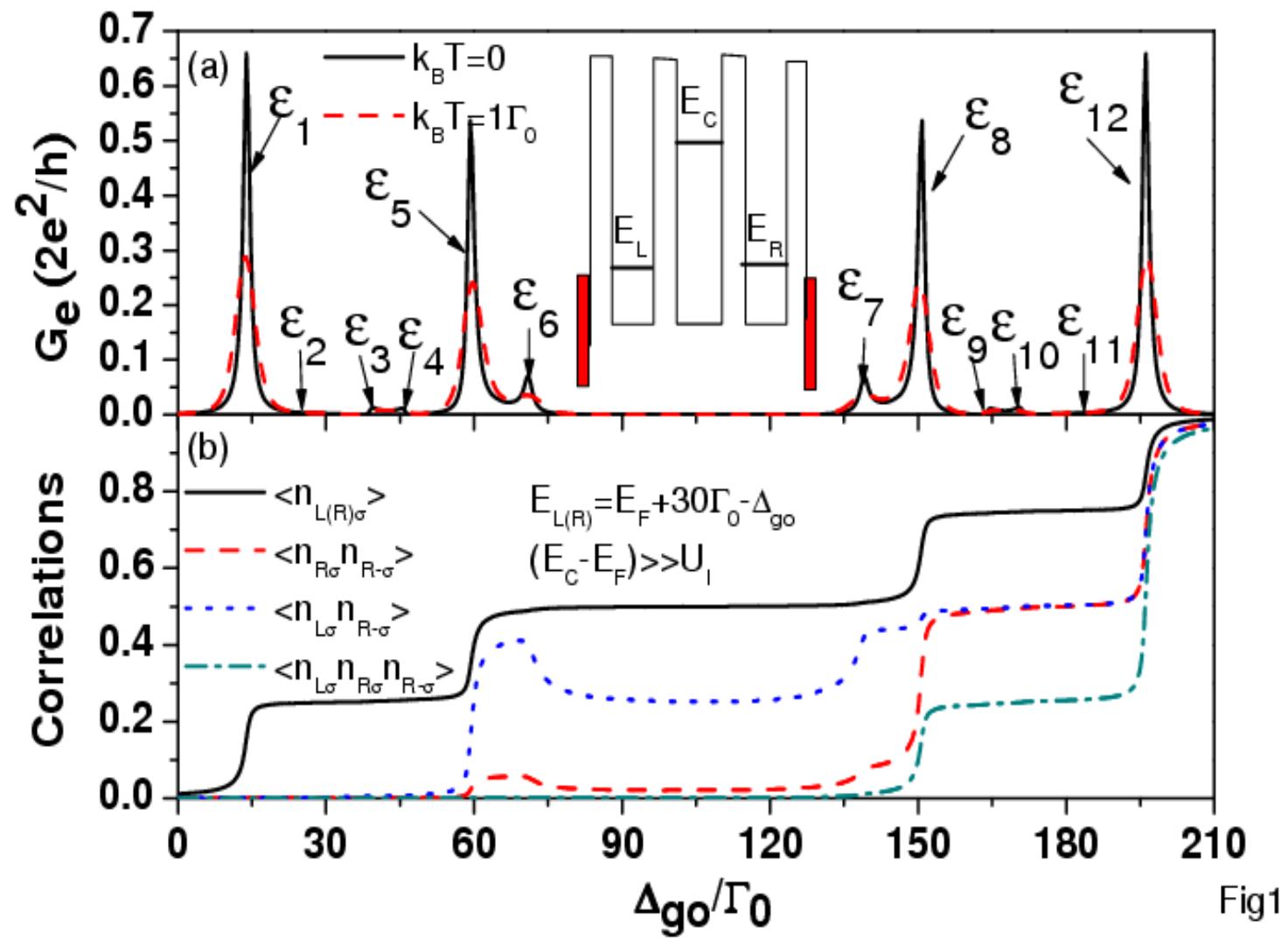
[R13] F. R. Braakman et al, Nature Nanotech 8, 432 (2013).

## 4-2:Experiment of TTQDs

[R14] M. Seo et al , Phys. Rev. Lett. 110,046803 (2013).



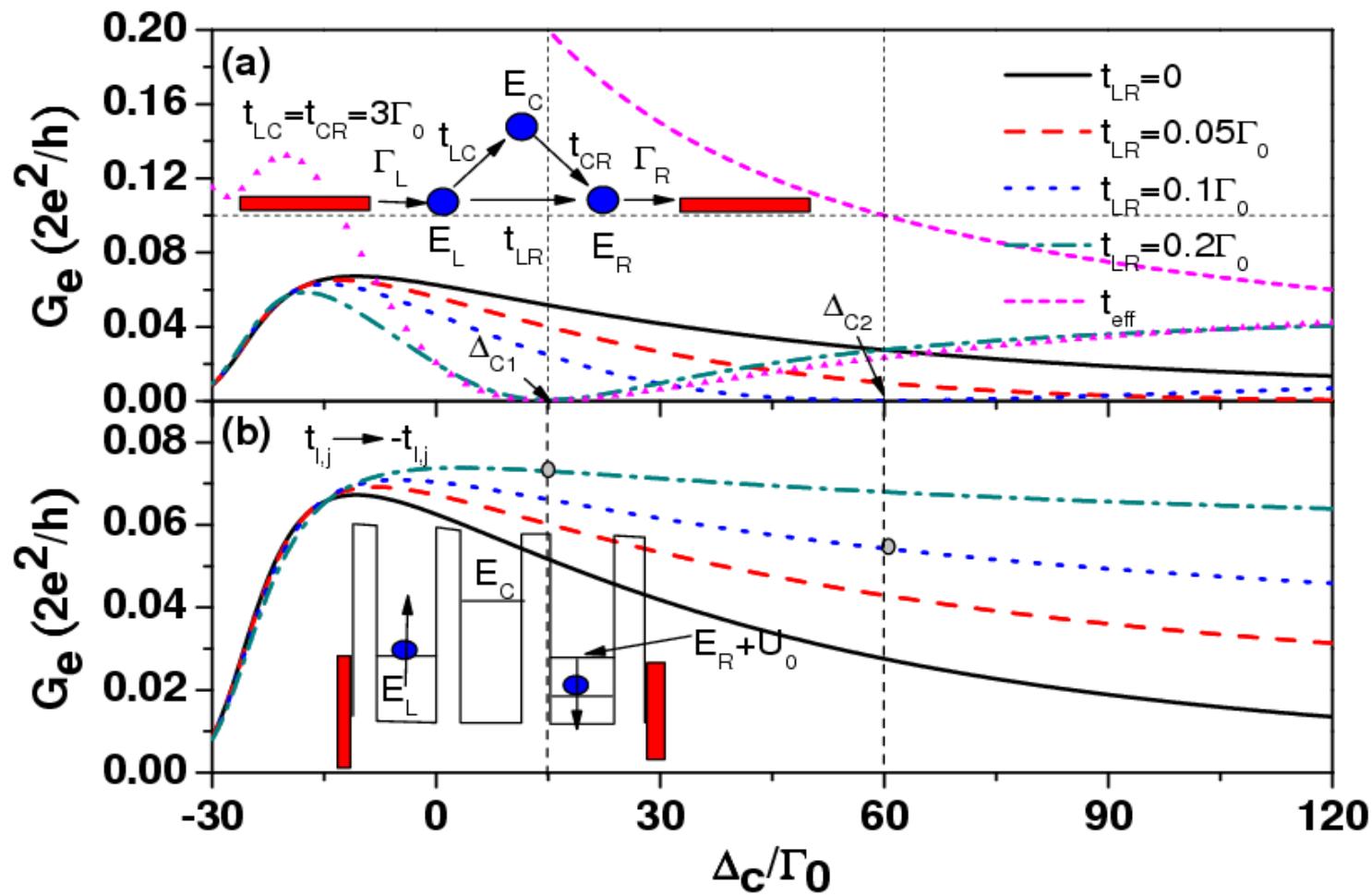
# 4-3:DQD (strong coupling)



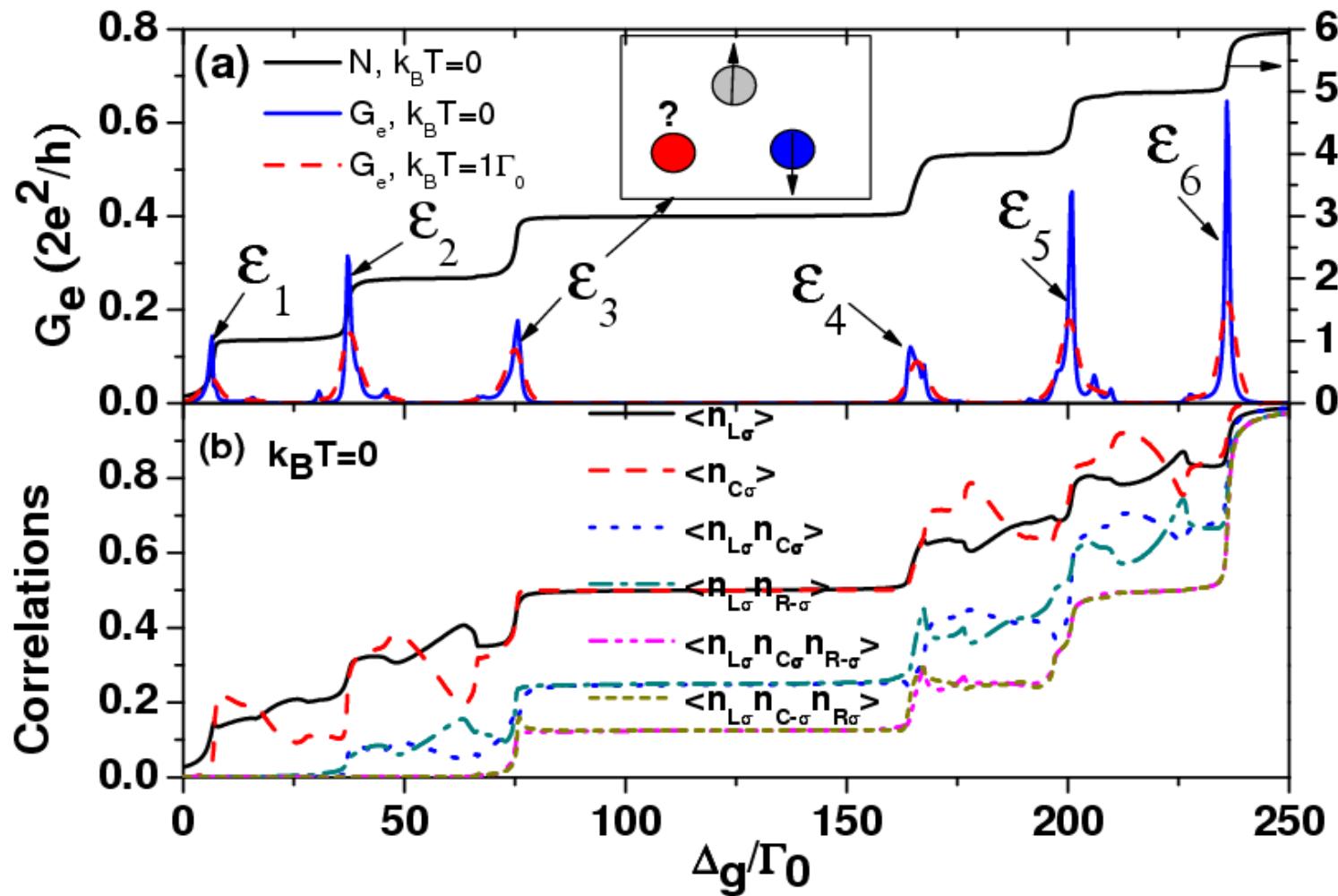
## 4-3-1:Spectra of DQD

perature. The twelve peak positions of  $G_e$  are identified by  $\epsilon_1 = E_0 - t_{LR}$ ,  $\epsilon_2 = E_0 - t_{LR} + \frac{U_0+U_{LR}}{2} - \frac{1}{2}\sqrt{(U_0-U_{LR})^2 + 16t_{LR}^2}$ ,  $\epsilon_3 = E_0 + U_{LR} - t_{LR}$ ,  $\epsilon_4 = E_0 + t_{LR}$ ,  $\epsilon_5 = E_0 + t_{LR} + \frac{U_0+U_{LR}}{2} - \frac{1}{2}\sqrt{(U_0-U_{LR})^2 + 16t_{LR}^2}$ ,  $\epsilon_6 = E_0 + U_{LR} + t_{LR}$ ,  $\epsilon_7 = E_0 + U_0 + U_{LR} - t_{LR}$ ,  $\epsilon_8 = E_0 - t_{LR} + \frac{U_0+3U_{LR}}{2} + \frac{1}{2}\sqrt{(U_0-U_{LR})^2 + 16t_{LR}^2}$ ,  $\epsilon_9 = E_0 + U_0 + 2U_{LR} - t_{LR}$ ,  $\epsilon_{10} = E_0 + U_0 + U_{LR} + t_{LR}$ ,  $\epsilon_{11} = E_0 + t_{LR} + \frac{U_0+3U_{LR}}{2} + \frac{1}{2}\sqrt{(U_0-U_{LR})^2 + 16t_{LR}^2}$ , and  $\epsilon_{12} = E_0 + U_0 + 2U_{LR} + t_{LR}$ . These poles do not involve any occupation numbers and correlation functions. This is a manifest result of integer charge picture.<sup>14</sup> The

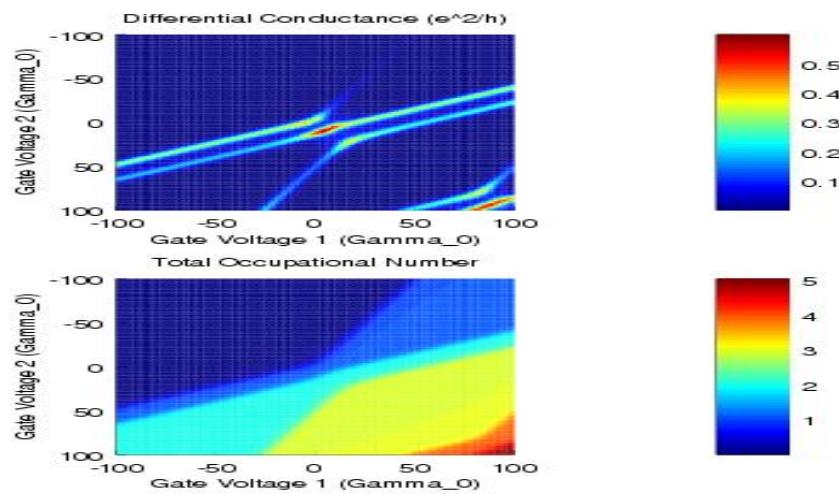
# 4-4:QI of TTQDs



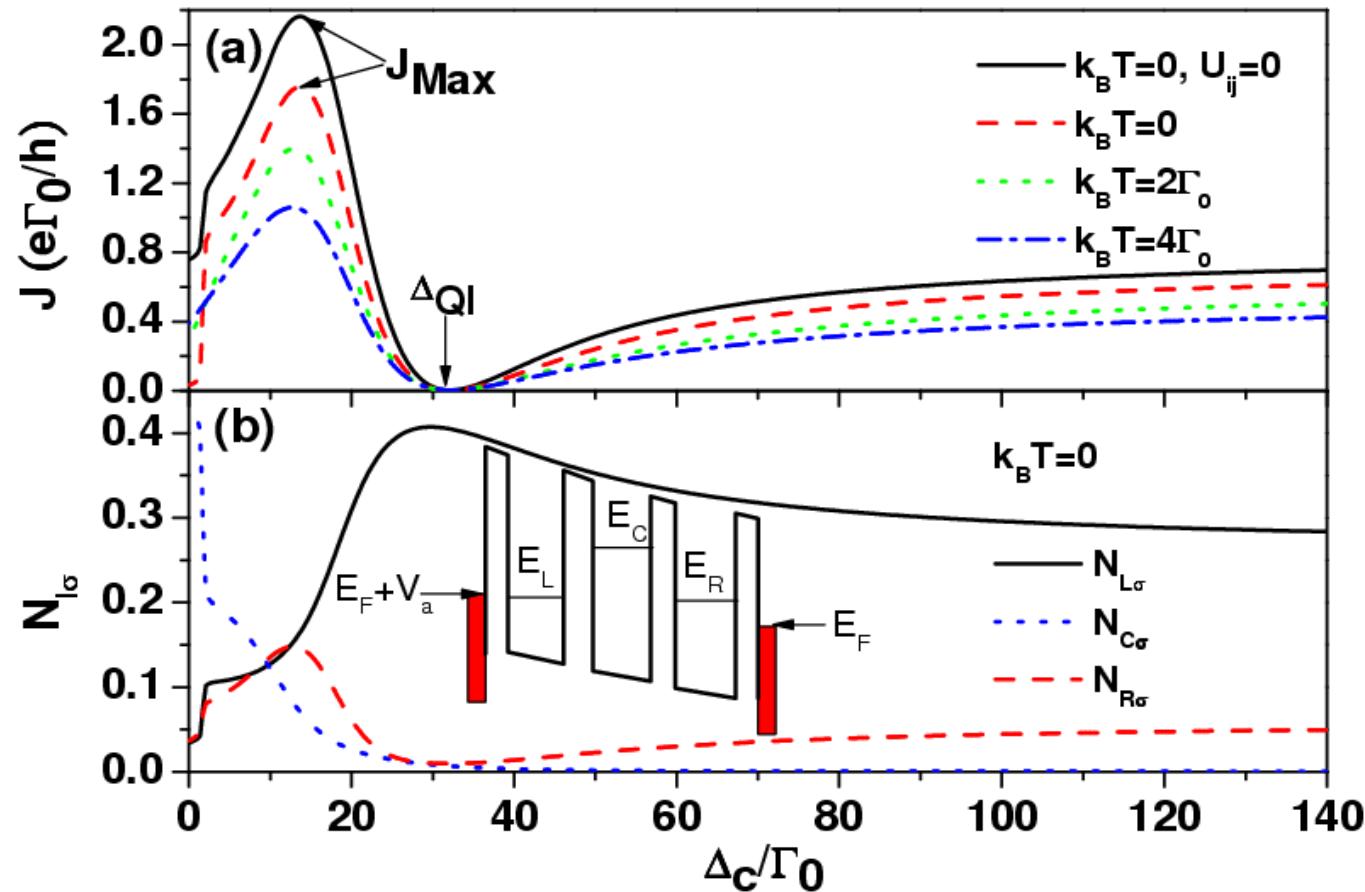
# 4-5:Spin frustration (TTQDs)



# 4-6:Charge stability diagram



# 4.7:QI effect on tunneling current



## **5:Conclusion**

- (1) Figure of merit, (ZT of QDs)**
- (2) Pauli spin blockade ( DQDs)**
- (3) Thermal rectification (TQDs)**
- (4) Long distance coherent tunneling (TQDs)**
- (5)Quantum interference (TTQDs)**
- (6) Spin and charge frustrations (TTQDs)**